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U.S. Army Corps of Engineers
Management Control Plan

USACE Org	Army/USACE Function	Evaluation Areas	Related Reg	Checklists / Key Controls Published In:	Alternative Method	Evaluation Level HQ	MSC/ Dist	FY06	FY07	FY08	FY09	FY10	Last Eval
CI	Info Mgmt	Army Info Resources Management Program	AR 25-1	AR 25-1 (App B)	See Note (1)	X	M D	X	X	X	X		FY04
CI	Info Assurance	Info Systems Security	AR 25-2	AR 25-2 (Paragraph 4-2, Appendix C)	See Note (1)	X	MD	X	X	X			FY04
CI	COMSEC	Communications Security Program	AR 380-40	AR 380-40 (Paragraph 6.2)	N/A	X	HQ	X	X	X	X		FY04
CW	Civil Works	Regulatory Programs	33 CFR 320-331	SOP for Regulatory Program - 15 Oct 99	See Note (1)	X	M D			X			FY03
CW	Civil Works	Direct Program Development–Annual Prog / Budget Req	EC 11-2-187	EC - Final Draft	See Note (1)	X	M D	X	X				FY04
CW	Civil Works	Engineering and Design	ER 1110-2-1150	ER (Appendix H)	See Note (1)	X	M D						FY01
CW	Civil Works	Emergency Management Activities	ER 11-1-320	ER (Appendix F, G, H)	See Note (1)	X	M D	X	X				FY04
CS	Business Process	Program and Project Management	ER 5-1-11		See Note (1)	X	M		X				FY02
CC	Legal	Claims Services	AR 27-20	AR 27-20 (App B)	See Note (1)	X	M D						FY97
EO	EEO	EEO and Affirmative Action	AR 690-12	Cmd Insp Checklist	See Note (1)	X	D	X	X	X	X		FY04
EO	EEO	EEO Discrimination Complaints	AR 690-600	Cmd Insp Checklist	See Note (1)	X	D	X	X	X	X		FY04
EO	EEO	Nondiscrimination in Progs/Actvts Asst'd	AR 690-600	Cmd Insp Checklist	See Note (1)	X	D	X	X	X	X		FY04
HR.	Personnel (Military)	Personnel Accounting & Strength Reporting	AR 600-8-6	AR (App B, C, & D)	See Note (1)	X	M		X		X		FY98
HR	Personnel (Military)	Monitoring Active Duty Service Obligations	AR 350-100	AR (App B)	See Note (1)	X	M		X		X		
HR	Personnel (Military)	Special Duty Pay	AR 614-200	AR (App C)	See Note (1)	X	M		X				FY02
HR	Personnel (Military)	Personnel Info -- Indebtedness Remission	AR 600-4	AR 600-4 (App B)	See Note (1)	X	M		X		X		
HR	Personnel	ACTEDS - Funding Control	AR 690-950	AR (App B)	See Note (1)	X	M			X			New
IG	Inspector General	Training	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Inspections	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Intelligence Oversight	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Investigations	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Assistance	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Information Resources	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IG	Inspector General	Legal	AR 20-1	Appendix B	N/A	X	HQ	X					FY02
IR	Internal Review	Audits / Internal Controls	AR 11 - 7	CEIR Checklists	See Note (1)	X	MD	X	X	X	X		FY04
LD	Supply	Supply Activities	AR 710-2	CELD Checklist	See Note (1)	X	M D			X			FY98
LD	Transportation	Transportation Services	DOD4500.9R	EP 700-7-1 (App E)	See Note (1)		M D	X		X			FY04
LD	Facilities	Facilities Support	AR 420-10	EP 700-7-1 (App D)	See Note (1)	X	M D						FY00
LD	Maintenance	Maintenance Activities	AR 750-1	ER 750-1-1 (App E)	See Note (1)	X	M D			X			FY00
LD	Logistics	Aviation Management	OMB Cir A-126	OMB Cir A126	See Note (1)	X	M D			X			FY03
CW/MP	Construction	Engineering and Design Quality Management	ER 1110-1-12	ER (See Note 4)	See Note (1)	X	M D	*	*	*	*		
CW/MP	Construction	Design and Construction Evaluation	ER 415-1-13	ER (See Note 4)	See Note (1)	X	M D	*	*	*	*		
CW/MP	Construction	Construction Quality Management	ER 1180-1-6	ER (See Note 4)	See Note (1)	X	M D	*	*	*	*		

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PR	Contract/Procurement	Contract Office Management	AFARS	AFARS (App BB)	See Note (1)	X	M D						FY00
PR	Contract/Procurement	Purchase Card Program	SAALT	SOP APP (See Note 5)	See Note (1)	X	M D		X				FY02
PR	Contract/Procurement	Secure Environment Contracting	AR 715-30	AR (App C)	See Note (1)	X	MD						
MP	Military Programs	Real Property Acquisition-Leasing	AR 405-10	AR (See Note 4)	See Note (1)	X	M D	X	X				FY02
MP	Military Programs	Homeowners Assistance Program	AR 405-16	AR (See Note 4)	See Note (1)	X	D		X				FY03
MP	Military Programs	Outgranting	AR 405-80	AR (Appendix C)	See Note (1)	X	M D						FY99
MP	Military Programs	Disposal	AR 405-90	AR (See Note 4)	See Note (1)	X	M D				X		FY01
RM	Construction	Construction Fiscal Management	ER 415-1-16	Appendix C (Draft dated 25 jun 03)	See Note (1)	X	M D		X				FY03
RM	DoDIG CFO Audit	CFO Issues	ER 37-1-30	CFO Website (See Note 3)	See Note (1)	X	M D	X	X	X	X		FY04
RM	Accounting	Revolving Fund Operation	ER 37-2-10	ER (Ch 19, App A)	See Note (1)	X	M D	X	X	X	X		FY04
RM	FM	Management Controls	AR 11-2	AR (App C)	See Note (1)	X	M D	X	X	X	X		FY02
RM	FM	Budget Execution	AR37-49/ER37-1-24	ER (App A)	See Note (1)	X	M D		X				FY03
RM	Personnel	Manpower Management Activities	AR 570-4	AR 570-4 (App B)	See Note (1)	X	M D		X		X		FY02
RM	FM	Army Travel Charge Card Program	DoD 7000.14-R FMR	Vol 9, Ch 3	See Note (1)	X	M D	X	X	X	X		FY02
RM-B	FM	Management of RDT&E Appropriation	AR 70-6	AR (See Note 4)	See Note (1)		M		X		X		
RM UFC	FM	Accounting Operations Activities	ER 37-2-10	DFAS-IN 37-1 (App C)	See Note (1)	X	FC	X	X	X	X		FY04
RM UFC	FM	Travel Pay Activities	ER 37-2-10	DFAS-IN 37-1 (App H)	See Note (1)	X	FC	X			X		FY03
RM UFC	FM	Disbursing Activities	ER 37-2-10	DFAS-IN 37-1 (App L)	See Note (1)	X	FC	X			X		FY03
RM UFC	FM	Commerical Accounts Activities	ER 37-2-10	DFAS-IN 37-1 (App G)	See Note (1)	X	FC	X			X		FY03
SO	Mgmt & Cmd	Management of Explosives Safety Program	AR 385-64	AR (See Note 4)	See Note (1)	X	M D						FY99
SO	Mgmt & Cmd	Chemical Agents	AR 385-61	AR (See Note 4)	See Note (1)	X	M D	X					FY01
SO	Mgmt & Cmd	Army Safety Program	AR 385-10	Appendix B	See Note (1)	X	MD			X			New
SPO	Security	Physical Security Inspection Program	AR 190-13	AR (Appendix F)	See Note (1)	X	M D	X	X	X	X		FY03
SPO	Intelligence/Security	Counterintelligence Program	AR 381-20	See Note (5)	See Note (1)	X		X	X	X	X		FY03
SPO	Security	Anti-terrorism & Force Protect	AR 525-13	AR (Appendix C)	See Note (1)	X	M D	X	X	X	X		FY03
SPO	Intelligence	Information Security	AR 380-5	AR (Appendix F)	See Note (1)	X	M D	X	X	X	X		FY03
PA	Public Affairs	Strat Objectives for Commo - Campaign Plan	Campaign Plan	Campaign Plan	See Note (1)	X	M D		X		X		FY04
PA	Public Affairs	Command Information Program	AR 360-1	See Note (1)	See Note (1)	X	M D		X		X		FY03
PA	Public Affairs	Public Information/Media Relations Program	AR 360-1	See Note (1)	See Note (1)	X	M D		X		X		FY03
PA	Public Affairs	Public Affairs Planning	AR 360-1	AR 360-1	See Note (1)	X	M D		X		X		FY03
PA	Public Affairs	Command Newspaper	AR 360-1	AR 360-1	See Note (1)	X	M D		X		X		FY03
PA	Public Affairs	Electronic Media and Websites	AR 360-1	AR 360-1	See Note (1)	X	M D		X		X		FY03
PA	Public Affairs	Communication Plans in PMP's	ER 5-1-11	See Note (1)	See Note (1)	X	M D		X		X		FY03

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(1) If there is no Management Control Checklist in the governing regulation or no HQ USACE checklist for the area to be evaluated, you may use an alternative method of evaluation such as (a) the latest Command Staff Inspection report, (b) the latest Internal Review or Audit Report, or (c) the latest report of an external review that documented the evaluation of the key controls for that area of your organization.

(2) For information on the Internal Review Checklist, contact your local Internal Review office.

(3) CFO Issues are addressed on the HQ USACE Resource Management website on the CFO Web Page (http://www.usace.army.mil/inet/functions/rm/cfo/cfo.htm). You may utilize your monthly reporting assessments as the alternative method of evaluation of CFO Issues.

(4) If you have a technical question about any functional area, please contact your local representative for that functional area prior to contacting the HQUSACE Management Control Administrator. If you have an administrative question about this matrix, contact the HQUSACE Management Control Administrator by telephone (202-761-4886) or via email (pamla.washington@us.army.mil).

(5) Highly recommend the OASA(FM&C) website (http://www.asafm.army.mil/fo/fod/mc/mc.asp). Click on "Army Management Control Evaluation" (the top item on the list); then click on "View Selection Options Page" (a long green bar); then click on "GO" (the last choice, a green button). This will take you to the entire DA listing of management controls most of which have hot links that jump to either the checklist or the regulation containing the checklist. To find your checklist, look down the column headed "Function" for the appropriate functional area (e.g., Contract/Procurement, Personnel, Intelligence, Security etc).

* FY evaluation requirements are dependant upon the command inspection schedules for MSCs/Dists-- conduct evaluation in preparation for the command visit.

For Army regulations, visit http://www.usapa.army.mil/ - the U.S. Army Publishing Directorate

For Engineer regulations, visit http://www.usace.army.mil/inet/usace-docs/ - the HQUSACE Publications web page.